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JTCG/AS Interlaboratory Ballistic Test Program—Final Report

by Albert L. Chang
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JTCG/AS Interlaboratory Ballistic Test Program—Final Report

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Abstract

A two-phase study was conducted to determine the efficacy of MIL-STD-662E in ballistic protection limit determination for advanced lightweight armor technologies. The first-phase results demonstrated an unacceptable variability in limit estimates across sites. It was concluded that more stringent controls in implementing MIL-STD-662E, particularly as to test velocity selection, would be required to achieve adequate reproducibility. An unusual pattern in the data from the first phase suggested that there was a physical reason beyond operational concerns for the unacceptable variability. In the second phase, this new reason was investigated in an interlaboratory test involving six sites. It was determined that a physical phenomenon, termed shatter gap, was the principal cause of the irreproducible results from the first phase. For this setting, modifications of MIL-STD-662E to support reproducible results were suggested and successfully tested.

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1. Introduction

The ballistic performance of lightweight armor materials has been evaluated based on the V_{50} ballistic limit, which is defined as the projectile striking velocity at which complete penetration and partial penetration of the armor materials are equally likely events. There are several types of V_{50} ballistic limits, which depending on the definition of the complete (or partial) penetration, included the Army, Navy, and protection criterion [1]. The protection ballistic limit (PBL) V_{50} is the most widely accepted criterion for assessing the performance of lightweight armor materials and serves as the focus of this study. The outcome of the PBL V_{50} ballistic test is determined by the final condition of a witness plate placed behind the armor panel. If the witness plate is perforated by the projectile or spall from the armor panel, the outcome is termed a complete penetration. If no perforation is observed through the witness plate, the result is termed a partial penetration. If the armor panel is perforated, but the witness plate remains intact, the result is still defined as a partial penetration. A schematic definition of the partial and complete penetration is shown in Figure 1.

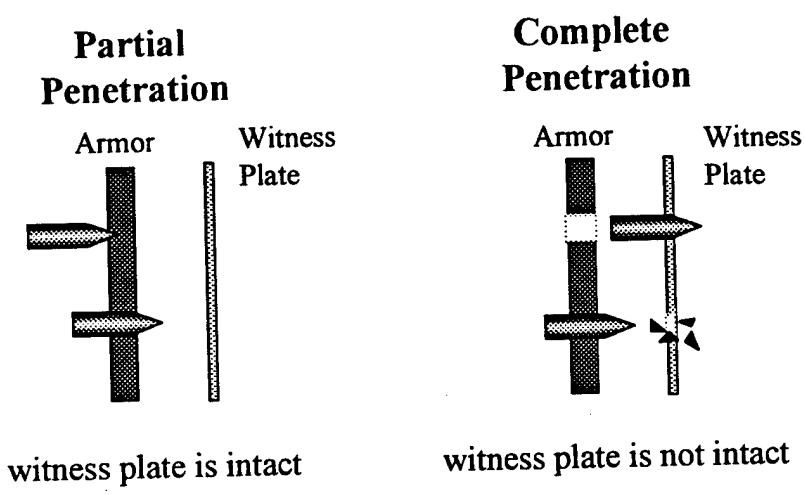

Figure 1. A Schematic Illustration of Partial and Complete Penetrations.

The outcome of a given ballistic event (partial or complete penetration) at a given velocity V is not always identical, due to the statistical nature of the various material failure processes involved in the ballistic event. Therefore, it is best described in terms of the probability of complete

penetration, Pc(V). There is no a priori derivation of Pc(V) from first principles. It is generally accepted that Pc(V) is assumed to follow some unspecified statistical model. In general, Pc(V) = 0 at lower velocities, Pc(V) = 0.5 at V_{50}, where the partial and complete penetration are equally likely, and Pc(V) = 1 at higher velocities. Near V_{50}, some of the partial penetrations have velocities higher than some of the complete penetrations. The zone of mixed results (ZMR) is the velocity range over which such overlap occurs. Ideally, Pc(V) can be determined experimentally by conducting multiple ballistic tests at various velocities. However, this approach is not practical for obtaining the V_{50} ballistic limit of armor materials on a regular basis, due to the high cost associated with such large number of ballistic tests. Therefore, a more efficient and standardized test, namely MIL-STD-662E [2], using the up-and-down velocity-selection rule to sample the Pc(V) with fewer numbers of ballistic tests, was developed, and it has been widely used throughout the ballistic testing community. The up-and-down method is based on a bisection algorithm. If the nth shot at V_n yields a complete penetration, the velocity of the (n + 1)th shot should be at $V_{n+1} = V_n - \Delta V$ in order to obtain a partial penetration. Otherwise, if the nth shot yields a partial penetration, V_{n+1} should be at $V_n + \Delta V$ in order to obtain a complete penetration. The velocity step, ΔV, is typically 100 ft/s. After the occurrence of the first reversal, that is a complete penetration followed by a partial penetration or a partial penetration followed by a complete penetration, $\Delta V = 50$ ft/s and $\Delta V = 25$ ft/s for additional reversals. The up-and-down method assumes that Pc(V) is monotonic; (i.e., Pc(V) is always increasing with increasing striking velocity in the velocity range of interest).

MIL-STD-662E *V_{50} Ballistic Test for Armor* [2] defines the ballistic limit, protection criteria, V_{50} BL(P), as follows.

> The V_{50} BL(P) may be defined as the average of an equal number of highest partial penetration velocities and the lowest complete penetration velocities that occur within a specified velocity spread. The normal up-and-down firing procedure is used. A 0.20-in (0.051 mm)-thick 2024 T3 sheet of aluminum is placed 6 ±0.5 in (152 ±12.7 mm) behind and parallel to the target to witness complete penetrations. Normally, at least two partial and two complete penetration velocities are used to complete the BL(P). Four-, six-, and 10-round ballistic limits are frequently used.

The maximum allowable velocity span is dependent on the armor material and test conditions. Maximum velocity spans of 60, 90, 100, and 125 ft/s (18, 27, 30, and 38 m/s) are frequently used.

With the advancement of armor material technology, especially with the combinations of ceramic front tile with composite backing plate, there have been many instances where V_{50} data obtained from different laboratories differ from one another. Furthermore, in ballistic tests conducted by the same laboratory, there were instances where the velocity spreads between the highest partial penetration and the lowest complete penetration exceeded the allowable limits. In order to validate the current MIL-STD-662E V_{50} test procedure, an interlaboratory ballistic test program was initiated by the U.S. Army Research Laboratory (ARL), Aberdeen Proving Ground (APG), MD, in 1993.

The objectives of this project were to (1) evaluate the reproducibility of the V_{50} data using the current MIL-STD-662E by conducting interlaboratory ballistic tests on benchmark armor materials and (2) propose modifications to MIL-STD-662E. This project was sponsored by the Joint Technical Coordinating Group on Aircraft Survivability (JTCG/AS). The JTCG/AS project identification number is V-3-A1, *Aircraft Armor Materials Testing and Data Reporting Standards Project.* This project was divided into two phases as follows.

Phase I (FY93–FY95): Nine laboratories, including four government laboratories (ARL/MD, Aviation Applied Technology Directorate [AATD], U.S. Air Force Wright Laboratory [AFWL], and Naval Surface Warfare Center [NSWC]), and five industrial laboratories, (H. P. White, Ceradyne, Simula, Southwest Research Institute [SRI], and University of Dayton Research Institute [UDRI]), participated in this project. These laboratories conducted V_{50} ballistic tests in accordance with MIL-STD-662E [2] on identical armor materials (material no. 1: VAR 4340 Steel, material no. 2: AD90 Al_2O_3/Kevlar) using identical 0.50 AP M2 bullets from the same lot. Results from Phase I [3] demonstrated the weakness of the MIL-STD-662E procedure for obtaining consistent V_{50} data from different laboratories. Statistical analysis of Phase I data suggested that Pc(V) for both 4340 steel and Al_2O_3/Kevlar armor plates were nonmonotonic. This nonmonotonic behavior implies that each laboratory might have sampled different parts of this Pc(V) by using slightly different starting

velocities, thus obtaining significantly different V_{50} data. Other variations in experimental techniques from each laboratory, such as different fixtures for mechanically constraining the armor plates during impact, would increase the data scatter in the interlaboratory test even further.

Phase II (FY95–FY96): A new V_{50} test procedure was developed during this phase. This proposed V_{50} test procedure can sample both the monotonic and the nonmonotonic Pc(V) and was successfully verified in Phase II interlaboratory V_{50} ballistic tests that involved six laboratories, including three government laboratories (ARL/MD, AATD, and AFWL) and three industrial laboratories (Ceradyne, Simula, and SRI). The Phase II results are the main subject of this report.

In the following sections, we briefly summarize the Phase I results and identify the sources of discrepancy in Phase I data, describe efforts in confirming the nonmonotonic behavior of Pc(V) in Al_2O_3/Kevlar armor plates, and describe the development of the new velocity-selection rule for the V_{50} test by performing statistical model simulations. This new velocity-selection rule was field-tested by the six laboratories in the Phase II interlaboratory V_{50} ballistic tests, yielding consistent V_{50} data from the participants. We also discuss the physical processes responsible for the nonmonotonic behavior and evaluate the experimental techniques that contributed to the V_{50} data scatter. Finally, we provide a proposed V_{50} test procedure.

2. Review of Phase I Results

Two types of armor materials/systems were tested by nine laboratories in Phase I. The first type is the 0.175-in-thick VAR 4340 steel panels with a Rockwell C hardness of 48–52. The second type is the ceramic/composite panels with AD90 Al_2O_3 ceramic tile (5 in × 5 in × 0.535 in) backed by Kevlar-reinforced plastic (KRP) (15 in × 15 in × 18 ply). Each laboratory was given 3 VAR 4340 steel panels capable of sustaining 8 or 9 shots each, 16 Al_2O_3/Kevlar panels capable of sustaining a single shot, and enough 0.50-cal. AP M2 bullets that came from the same lot. The starting velocity of 1,600 ft/s was selected for both the steel panels and the ceramic/composite panels. The test engineer of each laboratory selected all subsequent velocities (by selecting the powder charges) according to the velocity-selection rule specified by MIL-STD-662E. All laboratories used variants

of the up-and-down method to select the projectile velocities. Most of the participants focused their attention in the velocity range from 1,400 to 2,000 ft/s for both targets. After the V_{50} PBL had been satisfactorily determined, each laboratory used the remaining shots (usually two–four) to explore velocities outside the 1,400–2,000-ft/s range.

2.1 Ballistic Data and Penetration Probability. Figure 2 summarizes the ballistic data of VAR 4340 steel armor plates from all nine laboratories. It is clear that the velocity range where the partial and complete penetrations overlap, ZMR varies from laboratory to laboratory. For example, in Lab 6, the ZMR was as large as 600 ft/s, while in Lab 4, it was only about 150 ft/s. Therefore, the reported V_{50} data varied from laboratory to laboratory with little consistency. Figure 3 shows the ballistic data of Al_2O_3/Kevlar armor plates from all nine laboratories. Again, the ZMR varies from laboratory to laboratory and appears to be as large as 800 ft/s in Lab 9. By grouping the combined data from all nine laboratories into various velocity bins, the penetration probability for a given velocity bin, Pc(V), can be estimated by dividing the number of complete penetrations by the total number of shots in this velocity bin. Figure 4 shows the Pc(V) obtained from the combined data of VAR 4340 steel armor plates, and Figure 5 shows the Pc(V) obtained from the combined data of Al_2O_3/Kevlar armor plates. The solid squares in Figures 4 and 5 are observed penetration probability at various velocities. The solid curves are fitted to the data based on a proposed statistical model, which will be discussed in detail later. The observed penetration probability data in Figures 4 and 5 strongly suggest that the Pc(V) in these armor materials systems is nonmonotonic. In other words, the probability of complete penetration does not always increase with increasing velocity. In certain velocity ranges, Pc(V) actually decreases with increasing velocity.

2.2 Sources of Discrepancy. There are two kinds of discrepancy in this interlaboratory ballistic test, namely the extrinsic discrepancy vs. the intrinsic discrepancy. The extrinsic discrepancy could come from the difference in V_{50} ballistic test practice in each laboratory (such as difference in target fixture, velocity measurement and control, bullet pitch and yaw, and velocity selection). The intrinsic discrepancy, on the other hand, could come from the variation of material behaviors in bullets and armor panels as a function of bullet-striking velocity. This intrinsic discrepancy, if exists, should be observable even within each laboratory's own data. Careful examination of Figures 2 and 3 indicates that even within a single laboratory's data, the indication of a nonmonotonic Pc(V)

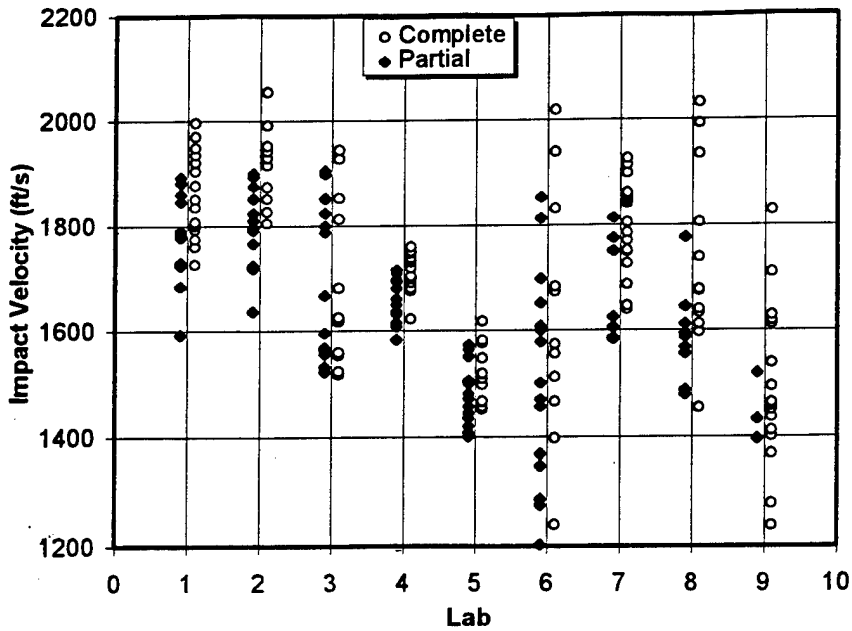

Figure 2. Summary of Phase I Ballistic Data of VAR 4340 Steel Armor Plates From All Nine Laboratories.

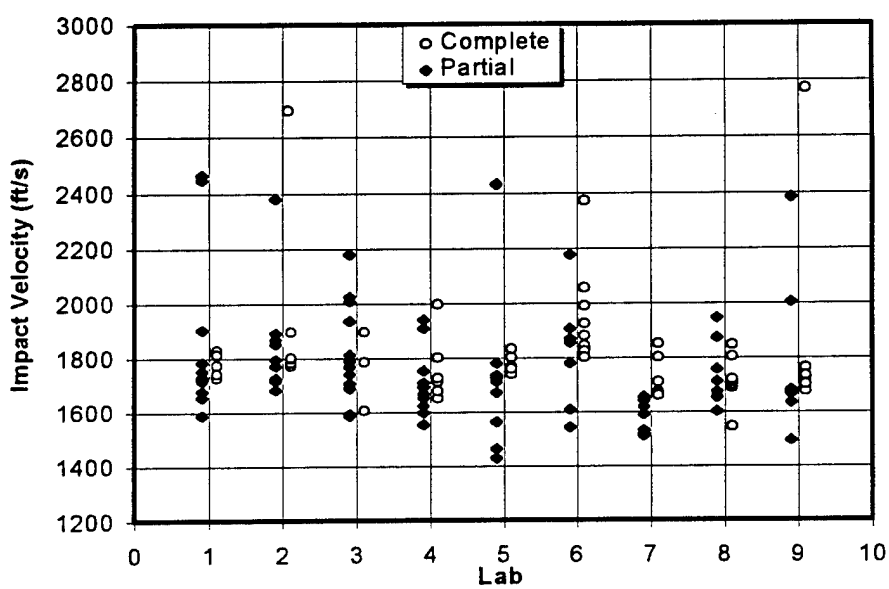

Figure 3. Summary of Phase I Ballistic Data of Al_2O_3 /Kevlar Armor Plates From All Nine Laboratories.

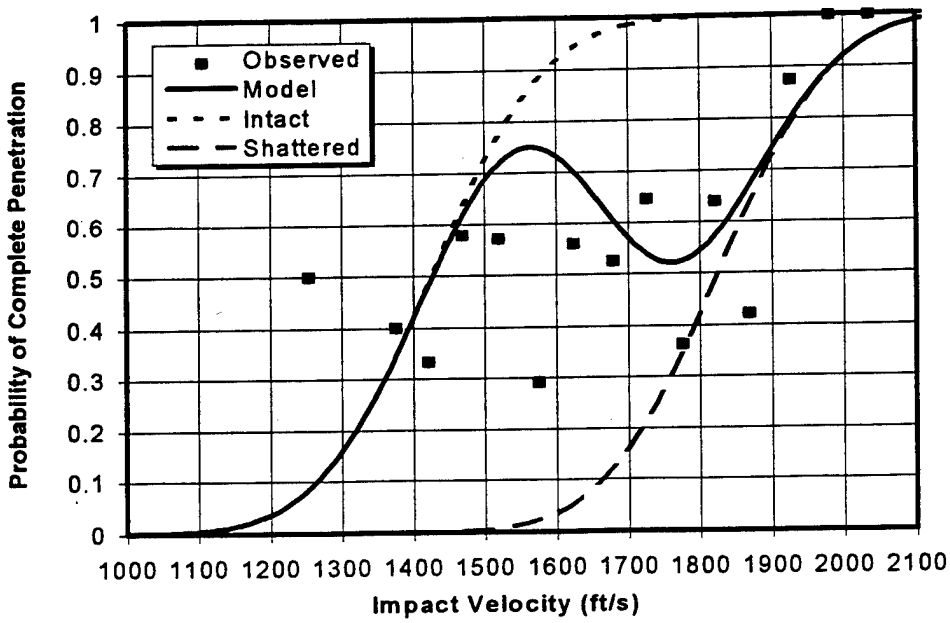

Figure 4. Penetration Probability of VAR 4340 Steel Armor Plates Obtained From Combined Phase I Data of All Nine Laboratories.

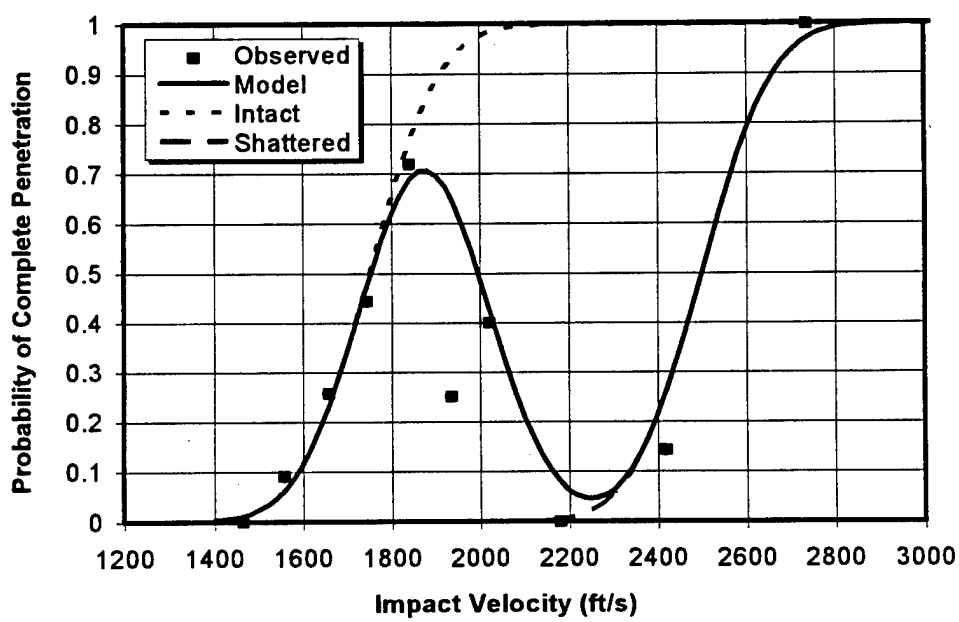

Figure 5. Penetration Probability of Al_2O_3 /Kevlar Armor Plates Obtained From Combined Phase I Data of All Nine Laboratories.

distribution is evident. Assuming the existence of a nonmonotonic Pc(V) distribution, it is postulated that the current velocity-selection rule could make each laboratory sample different parts of a nonmonotonic Pc(V) distribution. This intrinsic discrepancy, if not corrected, could lead to drastically different V_{50} results, overwhelming the effect of the extrinsic discrepancy.

Therefore, the strategies of the Phase II study were (1) to confirm the existence of a nonmonotonic penetration probability distribution, Pc(V) in Al_2O_3/Kevlar armor plates by conducting systematic ballistic tests at various velocities by a single laboratory, thus eliminating the possible effects of extrinsic discrepancy due to interlaboratory inconsistencies and bypassing the effect of the current velocity-selection rule; (2) to develop a new velocity-selection rule, suitable for both the nonmonotonic and the monotonic Pc(V) distributions, from statistical model simulations; and (3) to confirm the benefit of the new velocity-selection rule by conducting the Phase II interlaboratory V_{50} test.

3. Phase II Results

In the following sections, we present the experimental and analytical details of the confirmation of the nonmonotonic behavior of Pc(V), the direct observation of bullet shattering as a function of velocity, physical evidence of the shatter-gap behavior, the development of statistical models to describe this nonmonotonic Pc(V), the development of a new velocity-selection rule by Monte-Carlo simulation of the V_{50} test procedure, and the results of the Phase II interlaboratory V_{50} test (involving six laboratories) using this new velocity-selection rule for nonmonotonic Pc(V).

3.1 Confirmation of Nonmonotonic Penetration Probability Distribution. A new batch of 144 Al_2O_3/Kevlar armor plates, identical to the Phase I specifications, was procured. In collaboration with the U.S. Army Aberdeen Test Center (ATC), ARL/MD, conducted 69 ballistic shots to identify the Pc(V). The remaining 75 armor plates were used by five additional laboratories to confirm the new V_{50} test procedure. Using Phase I results as the guide, the velocity window of interest was identified to be from 1,400 to 2,800 ft/s. The velocity window was divided into 11 bins. The

number of shots per bin was selected depending on the velocity. For velocity bins at which nonmonotonic behavior was anticipated, a greater number of shots were allocated to increase the confidence of the observations. Flash x-ray radiographs and yaw cards were used to confirm that the 0.50-cal. AP M2 bullet had acceptable pitch and yaw (less than 3°).

Figure 6 shows the penetration probability derived from the 69 shots. The solid squares in Figure 6 are observed penetration probabilities at various velocities. The upper and lower 80% confidence intervals associated with each observed probability are also shown as hollowed triangles and hollowed circles, respectively. The solid curve is based on a data-fitted statistical model that will be discussed later.

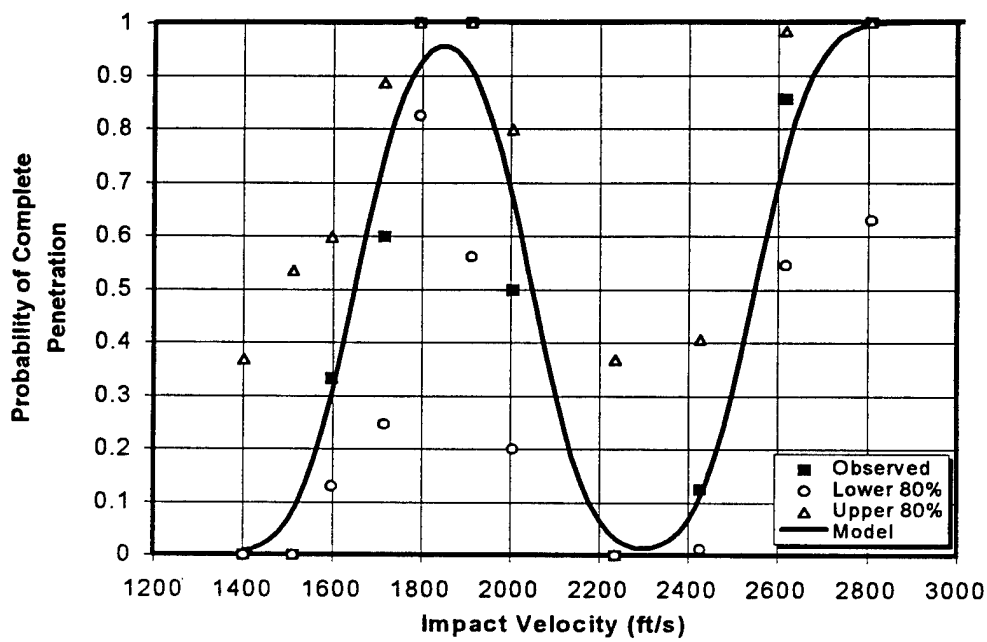

Figure 6. Penetration Probability of Al_2O_3/Kevlar Armor Plates Obtained From 69 Shots in Phase II.

Table 1 lists the results of the 69 shots along with the calculated Pc(V) and 80% and 90% confidence intervals. The Pc(V) of a velocity bin at Vmean is simply the number of complete penetrations (C), divided by the total number of shots in this velocity bin.

Table 1. Results of 69 Ballistic Shots on Phase II Al_2O_3 /Kevlar Armor Plates

Velocity (ft/s)	P/C	Vmean (ft/s)	Pc(V)	Lower 80%	Upper 80%	Lower 90%	Upper 90%
1,371	P	1,401.2	0/5	0	0.369	0	0.451
1,391	P						
1,411	P						
1,422	P						
1,492	P	1,512	0/3	0	0.536	0	0.632
1,518	P						
1,526	P						
1,561	P	1,598.44	3/9	0.13	0.599	0.098	0.655
1,585	P						
1,589	P						
1,597	P						
1,598	C						
1,601	C						
1,609	P						
1,616	C						
1,630	P						
1,679	C	1,715.6	3/5	0.247	0.888	0.189	0.924
1,705	C						
1,724	P						
1,724	C						
1,746	P						
1,758	C	1,795.17	12/12	0.825	1	0.779	1
1,767	C						
1,769	C						
1,774	C						
1,787	C						
1,794	C						
1,799	C						
1,807	C						
1,813	C						
1,817	C						
1,817	C						
1,840	C						

Note: P - Partial
C - Complete

Table 1. Results of 69 Ballistic Shots on Phase II Al_2O_3 /Kevlar Armor Plates (continued)

Velocity (ft/s)	P/C	Vmean (ft/s)	Pc(V)	Lower 80%	Upper 80%	Lower 90%	Upper 90%
1,897	C	1,912	4/4	0.562	1	0.473	1
1,902	C						
1,920	C						
1,929	C						
1,954	P	2,004	3/6	0.201	0.799	0.153	0.847
1,966	C						
2,005	P						
2,019	P						
2,023	C						
2,057	C						
2,197	P	2,235.4	0/5	0	0.369	0	0.451
2,224	P						
2,240	P						
2,253	P						
2,263	P						
2,383	P	2,426.5	1/8	0.013	0.406	0.006	0.471
2,398	P						
2,403	P						
2,425	P						
2,426	P						
2,437	P						
2,454	P						
2,486	C						
2,597	C	2,618.14	6/7	0.547	0.985	0.479	0.993
2,605	C						
2,618	P						
2,621	C						
2,626	C						
2,626	C						
2,634	C						
2,747	C	2,807.2	5/5	0.631	1	0.549	1
2,816	C						
2,823	C						
2,824	C						
2,826	C						

Note: P - Partial
C - Complete

3.2 Observation of Shatter Gap. Flash x-ray was also used to record and measure residual bullet length from complete penetrations. For partial penetrations, the residual bullet was collected and the residual bullet length measured, whenever possible. Based on the residual bullet length measurement from both the partial and the complete penetrations, the bullet-shattering phenomenon, the shatter gap, was directly confirmed by this test. Figure 7 shows the shattering of 0.50-cal. AP M2 bullet after impacting Al_2O_3/Kevlar armor plates as a function of impact velocity. The dotted curve is the same data-fitted model Pc(V) as shown in Figure 6. Residual core lengths obtained from the partial and the complete penetrations are plotted separately as hollow squares and solid triangles, respectively.

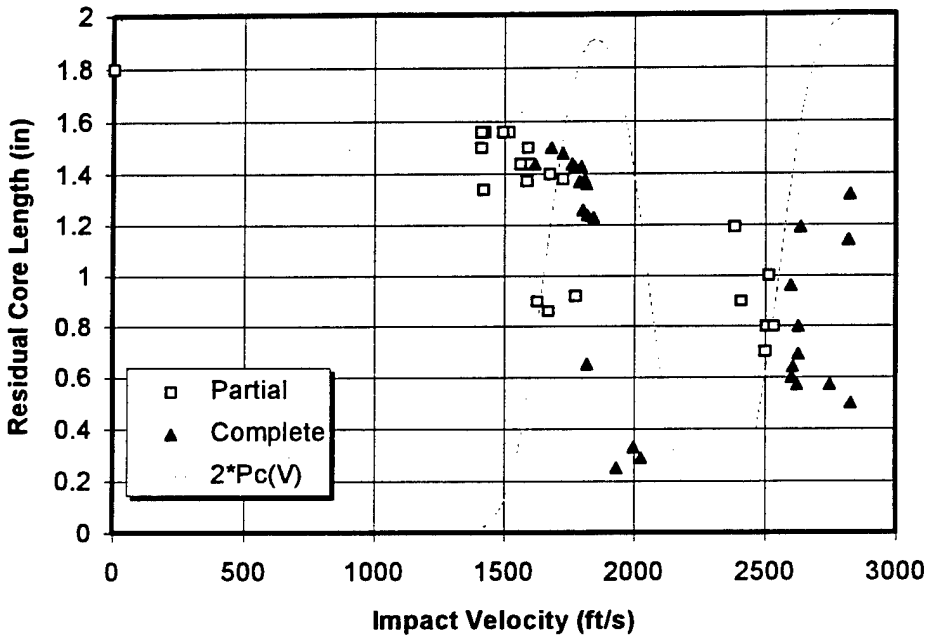

Figure 7. Shattering of 0.50-cal. AP M2 Bullets as a Function of Velocity After Impacting Al_2O_3 /Kevlar Armor Plates.

At velocities below 1,700 ft/s where partial penetrations were most probable, the residual core length reduced only slightly from the original 1.8 in by breaking the nose tip. At velocities between 1,700 and 2,000 ft/s, more bullet shattering (core length reduction) was observed with increasing velocity, and the probability of complete penetration began to decrease with increasing velocity. Between 2,000 and 2,400 ft/s, where no complete penetrations were observed, we did not recover any residual bullet in partial penetrations. At this velocity range, it was believed that the bullet was

so severely shattered that it was extremely difficult to recover the residual bullet from partial penetrations. At velocities between 2,400 and 2,800 ft/s, the residual core length showed an increase, probably due to the large deformation of the Kevlar backing plate reducing the impact stress on the bullet. The probability of complete penetration increased with increasing velocity. This observed shatter-gap behavior is believed to be the physical processes responsible for the observed nonmonotonic behavior of Pc(V).

3.3 Statistical Models and Simulation. Adams and Zwissler [4] suggested that the nonmonotonic Pc(V) can be expressed in terms of a combination of three probability distributions such that

$$Pc(V) = (1 - P(Tm, Ts, V)) * P(Im, Is, V) + P(Tm, Ts, V) * P(Sm, Ss, V), \qquad (1)$$

where

P(Im, Is, V) = probability of complete penetration by an intact bullet at V;
P(Sm, Ss, V) = probability of complete penetration by a shattered bullet at V;
P(Tm, Ts, V) = probability of transition between intact (0.0) and shatter (1.0) at V;
Im, Sm, Tm = mean of intact, shatter, and transition, respectively; and
Is, Ss, Ts = standard deviation of intact, shatter, and transition, respectively.

Equation (1) defines Pc(V) as a sum of two probability contributions. The first one is from having an intact bullet at V that completely penetrates the target, the (1 - P(Tm, Ts, V)) * P(Im, Is, V) term. The second one is from having a shattered bullet at V that completely penetrates the target, the P(Tm, Ts, V) * P(Sm, Ss, V) term. It was found that this model can adequately describe the observed nonmonotonic Pc(V) with appropriately chosen parameters. Table 2 shows the data-fitted parameters used to describe the observed Pc(V) of VAR 4340 Steel, Phase I Al_2O_3/Kevlar, and Phase II Al_2O_3/Kevlar armor plates.

Table 2. Data-Fitted Model Parameters From Observed Pc(V)

Armor Plates	Im (ft/s)	Is (ft/s)	Tm (ft/s)	Ts (ft/s)	Sm (ft/s)	Ss (ft/s)
VAR 4340 Steel	1,425	125	1,700	125	1,825	125
Phase I Al_2O_3/Kevlar	1,750	125	2,000	125	2,500	125
Phase II Al_2O_3/Kevlar	1,650	100	2,050	100	2,550	100

3.4 New Velocity Selection Rule. The current program offered a unique opportunity to re-examine various assumptions of the MIL-STD-662E V_{50} test procedure. The MIL-STD-662E selection of initial velocity at the "expected V_{50}" and the choice of reducing the step size after an observed reversal were based on the assumption of a monotonic Pc(V), such that the subsequent velocities would converge rapidly toward the "actual V_{50}." The MIL-STD-662E method of V_{50} calculation by averaging equal numbers of partial and complete penetrations around the ZMR was also based on the assumption that Pc(V) is always monotonic and Pc(V) is symmetrical around V_{50}. Since the assumption of a monotonic Pc(V) was nullified by the direct measurement of a nonmonotonic Pc(V) in Al_2O_3/Kevlar systems, modifications to various aspects of the V_{50} test procedure were studied in detail with Monte-Carlo simulations.

It was immediately evident that in a system with nonmonotonic Pc(V), the value of V_{50} was dictated by the initial velocity selection. Therefore, the only sensible alternative was to have two starting velocities, one from the low end of the velocity window of interest and going up and the other from the high end and coming down. In other words, one should perform two independent V_{50} tests and report both values of low-V_{50} and high-V_{50}. If the two values agreed within the uncertainty limits, the system had a monotonic Pc(V) and the V_{50} = (low-V_{50} + high-V_{50})/2. Otherwise, the system was nonmonotonic, and one should report both values. From the personnel protection point of view, the low-V_{50} value should be more significant; therefore, a greater number of shots should be allocated toward the low-V_{50} test. Compromise between statistical accuracy and conservation of resources led to the allocation of nine shots for the low-V_{50} test and six shots for the high-V_{50} test.

Important to both design and estimation is the selection of velocity step size. In the design, it governs what data are collected. In estimation, it impacts the V_{50} estimate. One danger of selecting a step size too small is that if Pc(V) is truly monotonic, the modest sample size (nine or six) might not permit the design to travel quickly enough to the lone V_{50}. When the step size is too large, the sequential design "walks" past the low-V_{50}. This causes the estimates for V_{50} to be more variable and biased toward the right.

Although by no means rigorous, we would suggest discussions along the following lines to set the step size, we suggest that the tester first guesses the lowest velocity, V_{low}, at which a complete penetration would be observed and then the highest practicable velocity, V_{high}, based on physical considerations of the gun, bullet, etc., at which a partial penetration would be observed. V_{low} and V_{high} would define the velocity window of interest. The velocity window of interest for the current system was determined from Phase I data to be between $V_{low} = 1{,}400$ and $V_{high} = 2{,}800$ ft/s. We also suggest that more shots be taken for the low-V_{50} than for high-V_{50}. In this project, we used nine shots for the low-V_{50} ($N_{low} = 9$) and six shots for the high-V_{50} ($N_{high} = 6$). In order to make sure that both V tests can sample a monotonic Pc(V) situated somewhere in the middle of the velocity window, we suggest that the selected step size would provide an overlap, $\Delta V_{overlap}$, in the two sampling ranges. Therefore, the step size ΔV can be roughly estimated to be

$$\Delta V = (V_{high} - V_{low} + \Delta V_{overlap})/(N_{low} + N_{high}), \tag{2}$$

In the current test, we have $\Delta V = (2{,}800 - 1{,}400 + 100)/(9 + 6) = 100$ ft/s.

The intended velocity for the first shot of the low-V_{50} test was set at $V_1 = V_{low} + \Delta V$, and the subsequent eight intended velocities were set at $V_{i+1} = V_i + \Delta V$, if the previous shot showed a partial penetration and $V_{i+1} = V_i - \Delta V$ for complete penetrations. Similarly, the intended velocity for the first shot of the high-V_{50} test was set at $V_{10} = V_{high} - \Delta V$, and the subsequent five intended velocities were set at $V_{i+1} = V_i + \Delta V$ for partial penetrations and $V_{i+1} = V_i - \Delta V$ for complete penetrations. This is a modified up-and-down method without reducing the step size after an observed reversal. The reason for using the intended velocities (instead of the actual velocities) for selecting the next

velocity was to average out the fluctuations in velocity control (the gun noise) from the actual velocity sequence. In simulations, the gun noise was assumed to have a maximum range of ±25 ft/s, and the random velocity fluctuations were assumed to be centered around each of the intended velocities.

The effect of velocity step size and of up-and-down velocity selection on the simulated V_{50} distribution was studied in detail. Figure 8 shows the effect of the velocity step size (ΔV = 50, 100, and 200 ft/s) on the simulated low-V_{50} distribution, with the starting velocity V_1 = 1,400 + 50; 1,400 + 100; and 1,400 + 200 ft/s. Figure 9 shows the same for the simulated high-V_{50} distribution, with the starting velocity V_{10} = 2,800 - 50; 2,800 - 100; and 2,800 - 200 ft/s. These results indicated that the choice of step size between 50 and (through) 200 ft/s did not change the V_{50} distribution significantly.

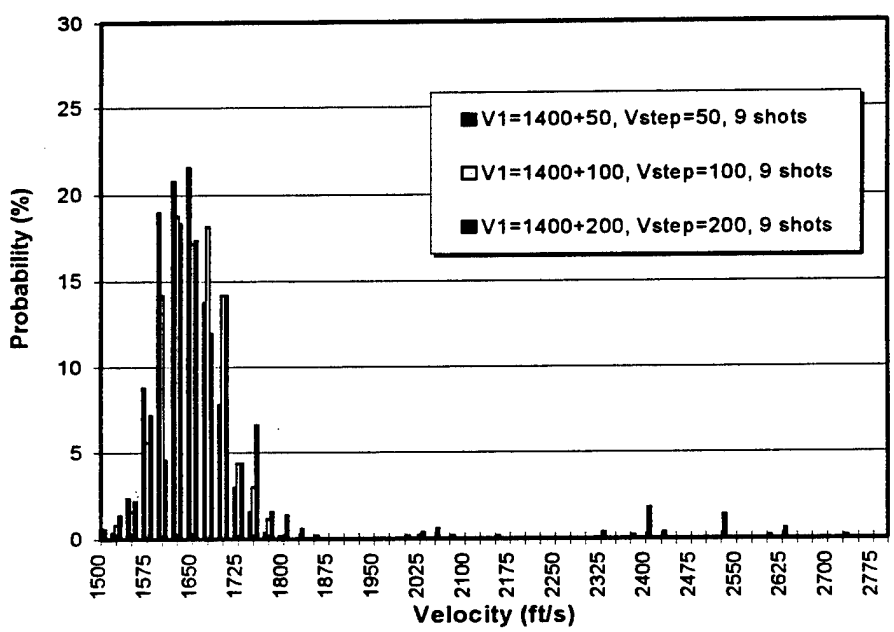

Figure 8. Effect of Velocity Step Size on the Simulated Low-V_{50} Distribution in Armor Plates With a Nonmonotonic Pc(V).

Figure 10 shows that the proposed V_{50} test procedure is also applicable to armor plates with an assumed monotonic Pc(V). The overlapping low-V_{50} and high-V_{50} distributions (with a step size of 100 ft/s) suggested that the actual V_{50} = (low-V_{50} + high-V_{50})/2.

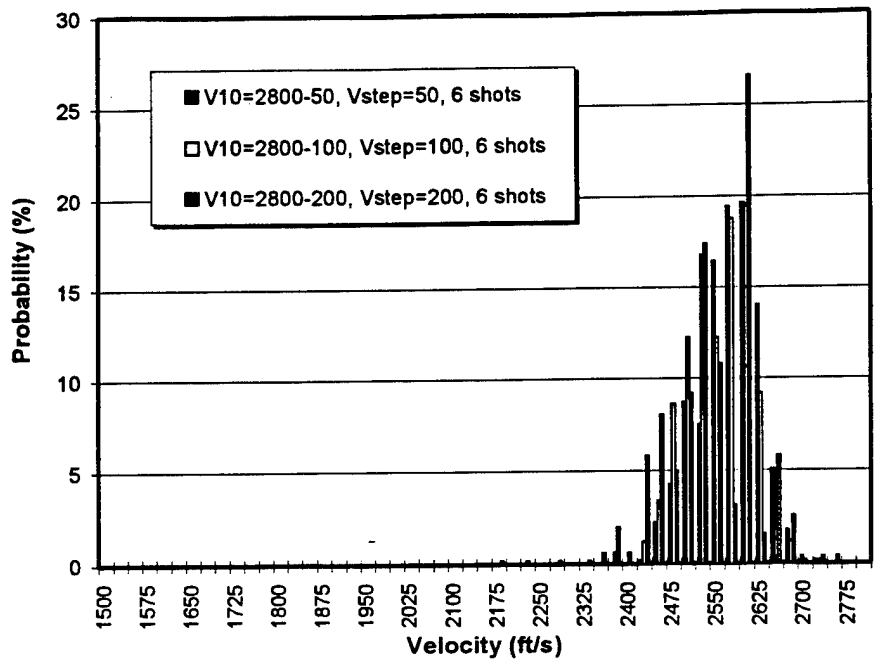

Figure 9. Effect of Velocity Step Size on the Simulated High-V_{50} Distribution in Armor Plates With a Nonmonotonic Pc(V).

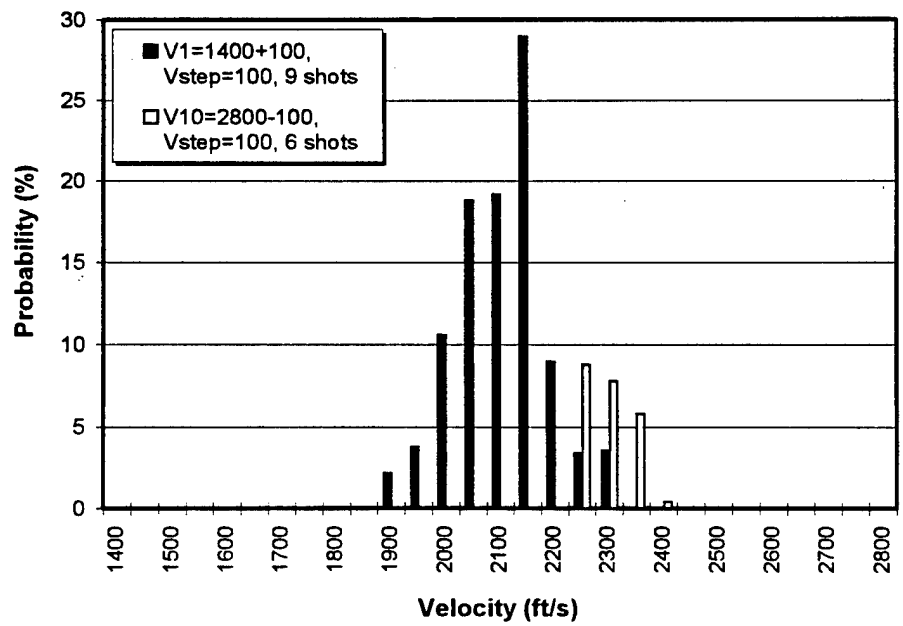

Figure 10. Overlapping of Low-V_{50} and High-V_{50} Distributions in Armor Plates With a Monotonic Pc(V).

The proposed V_{50} test procedure is summarized in Appendix A. This procedure was sent out to the participating laboratories for the Phase II interlaboratory V_{50} test program.

3.5 Modified Dixon's Maximum Likelihood Estimate. Since there is no guarantee that Pc(V) is symmetrical around ZMR in a nonmonotonic Pc(V), averaging equal numbers of partial and complete penetrations, as suggested by MIL-STD-662E, would not always give the best estimate of the V_{50} value. Instead, we have developed a modified Dixon's method for calculating the maximum likelihood estimate (MLE) of V_{50} (known as MDMLE).

The MLE method selects a V_{50} from a data set of complete and partial penetrations with their respective velocities that would make V_{50} most likely to have occurred. Estimation of low-V_{50} and high-V_{50} from two separate sets of data was accomplished through a modification to the usual MLE approach. Following Dixon's [5] approach, the standard deviation of the response curve was assumed to be known and equal to the fixed step size taken in the design. Under this modification, the conditions for estimate existence are only that at one complete penetration and one partial penetration are observed for each of the low-V_{50} and high-V_{50} test. A logistic response function was selected to locally describe the response curve about each V_{50}. The Newton-Raphson approximation method was used to carry out this estimation procedure. A FORTRAN code listing of program MDMLE is included in Appendix B.

3.6 Phase II Interlaboratory V_{50} Test. In the Phase II interlaboratory V_{50} test program, each laboratory followed the proposed V_{50} test procedure (Appendix A), instead of the MIL-STD-662E procedure. In addition to identical armor plates and bullets, the same identical target fixture was used by all six laboratories. The reported low-V_{50} and high-V_{50} values from each laboratory were calculated by ARL/MD using the identical MDMLE program. Table 3 lists the results of the Phase II interlaboratory V_{50} test from six laboratories. Figure 11 is the graphical representation of Table 3. The measured low-V_{50} and high-V_{50} values from each laboratory are shown as solid squares with an 'x.' The dotted lines represent the low-V_{50} and high-V_{50} values obtained from the model V_{50} values fitted to the observed Pc(V). The consistency among the interlaboratory V_{50} data is excellent, far superior than that obtained in Phase I using MIL-STD-662E. Figure 12 displays the observed V_{50} values with simulated distributions for these values under the model [1].

Table 3. Results of V_{50} Ballistic Test on Phase II Al_2O_3 /Kevlar Armor Plates From all Six Laboratories

Lab	Velocity	P/C	Low-V_{50}	Lab	Velocity	P/C	High-V_{50}
1	1,526	P	1,609	1	2,747	C	2,475
1	1,598	C		1	2,597	C	
1	1,518	P		1	2,454	P	
1	1,597	P		1	2,621	C	
1	1,679	C		1	2,486	C	
1	1,609	P		1	2,403	P	
1	1,705	C					
1	1,616	C					
1	1,492	P					
2	1,419	P	1,727	2	2,692	C	2,524
2	1,667	P		2	2,504	P	
2	1,784	C		2	2,596	C	
2	1,678	C		2	2,492	C	
2	1,625	P		2	2,406	P	
2	1,673	P		2	2,500	P	
2	1,773	P					
2	1,843	C					
2	1,778	C					
3	1,491	P	1,647	3	2,712	C	2,543
3	1,603	C		3	2,600	C	
3	1,502	P		3	2,511	P	
3	1,604	P		3	2,608	C	
3	1,730	C		3	2,532	P	
3	1,604	C		3	2,601	C	
3	1,474	P					
3	1,609	P					
3	1,703	P					
4	1,505	P	1,632	4	2,732	C	2,570
4	1,657	C		4	2,623	P	
4	1,523	P		4	2,680	C	
4	1,646	C		4	2,597	C	
4	1,447	P		4	2,495	P	
4	1,648	P		4	2,597	C	
4	1,738	C					
4	1,637	P					
4	1,656	C					

Note: P - Partial
C - Complete

Table 3. Results of V_{50} Ballistic Test on Phase II Al_2O_3 /Kevlar Armor Plates From All Six Laboratories (continued)

Lab	Velocity	P/C	Low-V_{50}	Lab	Velocity	P/C	High-V_{50}
5	1,396	P	1,682	5	2,663	C	2,428
5	1,625	P		5	2,519	P	
5	1,696	C		5	2,747	C	
5	1,613	P		5	2,642	C	
5	1,711	P		5	2,491	C	
5	1,810	C		5	2,415	C	
5	1,667	C					
5	1,621	P					
5	1,733	C					
6	1,513	P	1,605	6	2,700	C	2,277
6	1,596	P		6	2,593	C	
6	1,711	C		6	2,566	C	
6	1,607	C		6	2,407	C	
6	1,513	P		6	2,225	P	
6	1,529	P		6	2,358	C	
6	1,704	C					
6	1,630	C					
6	1,518	P					

Note: P - Partial
C - Complete

Results of this test confirmed the usefulness of the proposed V_{50} test procedure and provided the valuable operational experience for future implementation of this procedure at large.

4. Discussion

The current program had the benefit of a well-characterized Pc(V), which directly confirmed the shatter-gap behavior postulated by many previous investigators. Armed with a statistical model of this nonmonotonic Pc(V) and a Monte-Carlo simulation program, we can verify various strategies for V_{50} test and quantify the build-in uncertainties in V_{50} test.

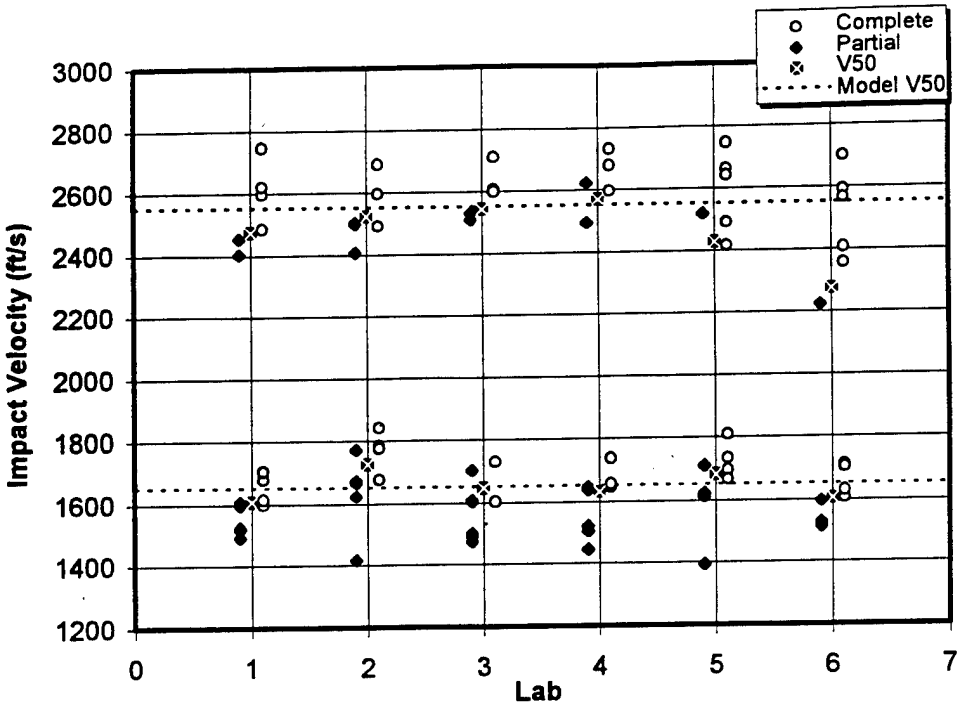

Figure 11. Summary of Phase II V_{50} Ballistic Test on Al_2O_3/Kevlar Armor Plates From All Six Laboratories.

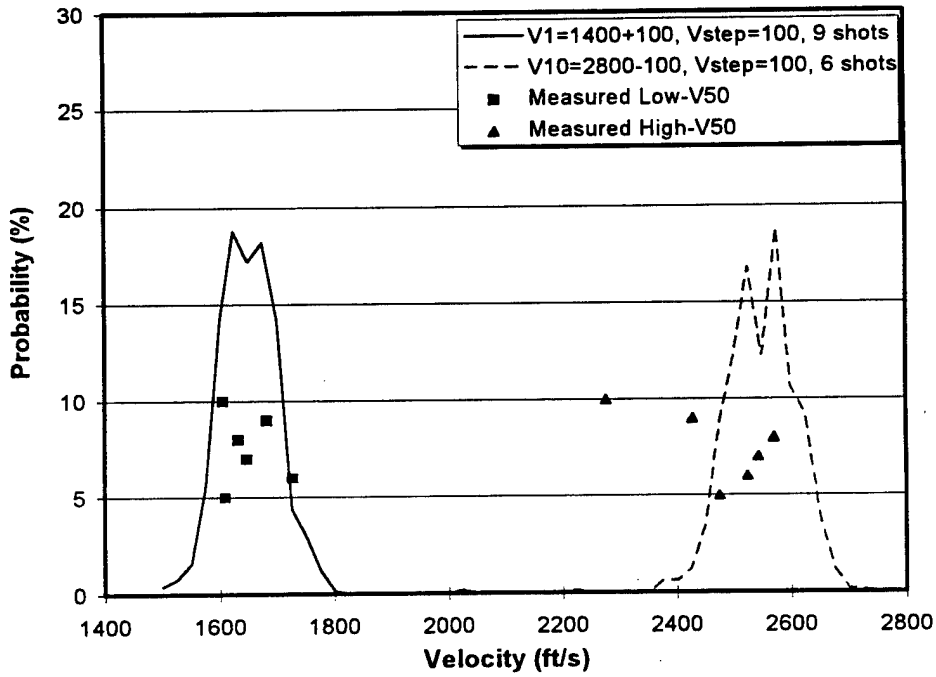

Figure 12. Measured Low-V_{50} and High-V_{50} From Phase II Interlaboratory Test on Al_2O_3/Kevlar Armor Plates as Compared to Simulations.

4.1 Shatter Gap. The exact physical-loading conditions and mechanisms for initiating extensive bullet shattering are not known at this point. More instrumented ballistic tests are needed. It was noted that as the bullet shattering progressed with increasing velocity, the Kevlar backing plate began to deform significantly, forming a big bulge without perforation for partial penetrations. The large deformation of the backing plate seemed to decrease the loading on the bullet and reduce the bullet shattering. At even higher velocities, more complete penetrations began to appear and the severely deformed backing plate began to form a hole by the residual bullet.

The existence of a nonmonotonic Pc(V) in armor systems has profound implications in regard to the armor system acceptance tests. Lack of complete penetrations at muzzle velocities does not guarantee that the system will not be penetrated at lower velocities. It is hoped that the proposed V_{50} test procedure will serve as the basis for a industry-adopted test standard.

4.2 V_{50} Data Scatter. Due to the small number of shots allocated and the build-in ±25 ft/s gun noise, the simulated low-V_{50} distribution (nine shots, $\Delta V = 100$ ft/s) was centered at 1,650 ft/s with a spread width of ~120 ft/s (measured between the 10th and the 90th quantile) while the simulated high-V_{50} distribution (six shots, $\Delta V = 100$ ft/s) was centered at 2,550 ft/s and had a spread width of ~140 ft/s. These spread widths represented the best accuracy in V_{50} values obtainable under ideal conditions. In reality, the velocity measurement apparatus and technique of various laboratories were seldom calibrated with each other. Some laboratories applied air-drag-correction procedures to the measured velocity, while others did not. Few laboratories used flash x-ray to monitor bullet pitch and yaw. Most used yaw cards instead to cut down cost. It was found that both ends of the gun barrel must be rigidly restrained to obtain consistently low pitch and yaw. In the current program, all six laboratories used the same target fixture that was used to generate the Pc(V). In future implementations, difference in target fixture geometry and attachment technique from each laboratory will undoubtedly contribute to additional data scatter. Perhaps the most significant source of interlaboratory data scatter came from the large-gun noise, which well exceeded the ±25 ft/s value used in simulations. Laboratories situated in dry-climate regions tend to get lower gun noise than those located in humid-climate regions.

5. Conclusions

Specifically, we conclude the following.

(1) The current MIL-STD-662E V_{50} test procedure was based on the assumption that the Pc(V) of armor/bullet systems was always monotonic.

(2) Direct measurement of Pc(V) in Al_2O_3/Kevlar systems confirmed that the Pc(V) was nonmonotonic.

(3) Observation of the bullet-shattering behavior as a function of velocity strongly suggested that the nonmonotonic Pc(V) in Al_2O_3/Kevlar systems was caused by the shatter-gap behavior.

(4) A proposed V_{50} test procedure was developed applicable for testing systems with either monotonic or nonmonotonic Pc(V).

(5) Results of Phase II interlaboratory V_{50} tests program using the proposed V_{50} test procedure showed a significantly reduced V_{50} data scatter as compared to Phase I interlaboratory data.

(6) To further reduce other sources of interlaboratory V_{50} data scatter, we recommend frequent velocity measurement calibration and certification, gun-noise reduction, bullet pitch and yaw measurement and reduction, and standardization of the target fixture, especially for ceramic/ composite armor plates.

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6. References

1. Mascianica, F. "Ballistic Technology of Lightweight Armor." AMMRC TR 81-20, U.S. Army Research Laboratory, Aberdeen Proving Ground, MD, March 1981.

2. U.S. Army Research Laboratory. *V_{50} Ballistic Test for Armor*. MIL-STD-662E, Aberdeen Proving Ground, MD, January 1987.

3. Graves, J. H., and H. Kolev. "JTCG/AS Interlaboratory Ballistic Test Program." ARL-TR-755, U.S. Army Research Laboratory, Aberdeen Proving Ground, MD, June 1995.

4. Adams, M. A., and J. D. Zwissler. Private communication. Jet Propulsion Laboratory, Pasedena CA, March 1995.

5. Dixon, W. J. "The Up-and-Down Method for Small Samples." *American Statistical Association Journal*, pp. 967–978, December 1965.

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Appendix A:

Proposed V_{50} Test Procedure

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1. Total number of shots = 15.

2. Target fixture:
   For ceramic/composite targets, it should be sandwiched tightly between 2 steel plates having the same outside dimensions as the target, a central widow at least 2 in larger than the ceramic tile dimensions and a contact area between the steel plates and the target at least 1.5 in wide. The target should be mounted to a rigid holder so that the bullet impacts at 0° obliquity.

3. Use a 0.020-in-thick 2024 aluminum sheet, ~6 in behind the armor, as a witness plate to record partial or complete penetration.

4. Test engineer should develop and use his/her own powder curve for bullets to control projectile velocity within ± 25 fps.

5. Test engineer should ensure low projectile pitch-and-yaw angle (below 3°) for the entire velocity window of interest and use yaw-card or flash x-ray to record pitch-and-yaw for each shot. Discard data from shots with excessive pitch-and- yaw (more than 10°).

6. Test engineer should determine the velocity window of interest: between V_{low} to V_{high}.

7. For low-V_{50} test:
   number of shots = 9, $V_{i+1} = V_i + \Delta V$ (V_i = intended velocities, i = 1–9)
   $V_1 = V_{low}$ + 100 fps (starting velocity)
   ΔV = +100 fps (if previous shot is partial)
   ΔV = −100 fps (if previous shot is complete)

8. For high-V_{50} test:
   number of shots = 6, $V_{i+1} = V_i + \Delta V$ (V_i = intended velocities, i = 10–15)
   $V_{10} = V_{high}$ - 100 fps (starting velocity)
   ΔV = +100 fps (if previous shot is partial)
   ΔV = −100 fps (if previous shot is complete)

9. Use a statistical computer program, MDMLE, to evaluate the values of Low-V_{50} and High-V_{50} from the firing data.

10. Testing engineer should prepare firing data sheets which should include firing sequence, impact velocities, partial/complete, yaw, low-V_{50} and high-V_{50} obtained.

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Appendix B:

FORTRAN Code Listing of MDMLE Program

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```
c
c This program provides a Modified Dixon Maximum Likelihood Estimate
c for V50.
c
      program mdmle
      character f1name*64
      dimension stress(100,2)
c
c Establish necessary inputs.
c
      call set(delta,nsample,stress,numit,epsilon,nonresp,f1name)
c
c Order the nonresponse velocities followed by the response velocities
c
      call sort(stress,nsample,nonresp)
c
c Compute the Modified Dixon Method MLE
c
      call mle(stress,v50,numit,epsilon,nsample,delta,nonresp)
c
c Display results
c
      call out(delta,nsample,stress,v50)
      stop
      end
c
c Subroutine Set
c
c This subroutine allows for data to be read in from a file
c or from the screen.
c
      subroutine set(delta,nsample,stress,numit,epsilon,nonresp,f1name)
      dimension stress(100,2)
      character f1name*64,dansa*64,dansb*64
      nonresp=0
      write(*,*) 'Name the output file'
      read(*,'(a)')f1name
      write(*,*) 'Name the output file'
      read(*,'(a)')f1name
      open(8,file=f1name,status='new')
      write(*,*)'Would you like to read the program information? y/n'
      read(*,'(a)')dansa
      if(dansa.eq.'y')then
      write(*,*)'This FORTRAN routine delivers a maximum likelihood'
      write(*,*)'estimate for the mean of the logistic response distr-'
```

```
      write(*,*)'ibution. This is a suitable substitute for the normal'
      write(*,*)'distribution over the .2 to .8 quantiles. Assumed is'
      write(*,*)'that the standard deviation of the response distr-'
      write(*,*)'ibution is known to be the "delta velocity change".'
      write(*,*)
      write(*,*)'Because of this assumption, the estimate will exist'
      write(*,*)'if at least one nonresponse and one response are'
      write(*,*)'present in the data. If not, a code of -9999 will be'
      write(*,*)'printed. Occasionally, the Newton-Raphson procedure'
      write(*,*)'will diverge. A code of -7777 is given when this'
      write(*,*)'occurs. If convergence has not been achieved in the'
      write(*,*)'number of iterations allowed by the user, a code of'
      write(*,*)'-8888 is given. Increase the number of iterations'
      write(*,*)'allowed or relax the epsilon for convergence to '
      write(*,*)'correct this.'
      write(*,*)
      write(*,*)'Hit enter to continue.'
      read(*,'(a)')dansb
      write(*,*)
      write(*,*)'There is no attempt on the part of the author to'
      write(*,*)'pass this as polished software. It is not. It is,'
      write(*,*)'however, functional and will allow the user to'
      write(*,*)'quickly and easily get a maximum likelihood estimate'
      write(*,*)'for the V50 instead of using the rough approximation'
      write(*,*)'that involves averaging stimulus levels.'
      write(*,*)
      write(*,*)'Dr. Barry A. Bodt'
      write(*,*)'Army Research Lab'
      write(*,*)'babodt@arl.army.mil'
      write(*,*)'4 June, 1996'
      write(*,*)
      else
      endif
      write(*,*)
      write(*,*)'Enter the delta velocity change.'
      read(*,*)delta
      write(*,*)'Enter the number of number of shots taken.'
      read(*,*)nsample
      write(*,*)'Enter each velocity, followed by a response (1)'
      write(*,*)'or a nonresponse (0).'
      do 10 j=1,nsample
      write(*,*)'test ',j
      read(*,*)stress(j,1),stress(j,2)
      if(stress(j,2).eq.0)nonresp=nonresp+1
   10 continue
```

```
      write(*,*)
      write(*,*)'How many iterations are to be allowed for the'
      write(*,*)'Newton-Raphson algorithm and what is the epsilon'
      write(*,*)'for convergence? Enter the number of iterations'
      write(*,*)'followed by the convergence criterion.'
      read(*,*)numit,epsilon
      return
      end
c
c Subroutine Sort
c
c This routine is just a simple bubble sort to order the data in
c the stress array according to increasing velocity within response
c
      subroutine sort(stress,nsample,nonresp)
      dimension stress(100,2)
c
c     First order the data according to response type with
c     nonresponse (0) and response (1).
c
      do 30 i=1,nsample-1
      do 20 j=i+1,nsample
      if(stress(j,2).lt.stress(i,2))then
      do 10 k=1,2
      temp=stress(i,k)
      stress(i,k)=stress(j,k)
      stress(j,k)=temp
   10 continue
      endif
   20 continue
   30 continue
c
c     Next order the nonresponse data according to velocity.
c
      do 60 ii=1,nonresp-1
      do 50 jj=ii+1,nonresp
      if(stress(jj,1).lt.stress(ii,1))then
      do 40 kk=1,2
      temp=stress(ii,kk)
      stress(ii,kk)=stress(jj,kk)
      stress(jj,kk)=temp
   40 continue
      endif
   50 continue
   60 continue
```

```
c
c   Finally order the response data according to velocity.
c
      do 90 iii=nonresp+1,nsample-1
      do 80 jjj=iii+1,nsample
      if(stress(jjj,1).lt.stress(iii,1))then
      do 70 kkk=1,2
      temp=stress(iii,kkk)
      stress(iii,kkk)=stress(jjj,kkk)
      stress(jjj,kkk)=temp
   70 continue
      endif
   80 continue
   90 continue
      return
      end
c
c Output of initial conditions
c
      subroutine out(delta,nsample,stress,v50)
      dimension stress(100,2)
      write(8,*)
      write(*,*)
      write(8,100)delta,nsample
      write(*,100)delta,nsample
      write(8,*)
      write(*,*)
      write(8,200)
      write(*,200)
      do 10 j=1,nsample
      write(8,300)(stress(j,k),k=1,2)
      write(*,300)(stress(j,k),k=1,2)
   10 continue
      write(8,*)
      write(*,*)
      write(8,400)v50
      write(*,400)v50
      return
      100 format(1x,'delta = ',f8.3,5x,'shots = ',i8)
      200 format(1x,'velocity response')
      300 format(1x,f8.3,6x,f4.2)
      400 format(1x,'mle v50 estimate = ',f8.3)
      end
c
      subroutine mle(stress,v50,numit,epsilon,nsample,delta,nonresp)
```

```
      dimension stress(100,2)
c
c check to see that the estimate exists (at least one
c one nonresponse and one response
c
      nresp=nsample-nonresp
      if(nonresp.eq.nsample.or.nresp.eq.nsample)then
      v50=-9999
      return
      endif
c
c With the logistic model f(alpha+beta*x), the standard
c deviation is related to beta through the equation
c beta=pi/(sigma*sqrt(3)). In our model, the standard
c deviation is being taken to be the step value for the
c data collection, hence the next line of code.
c
      beta=3.141592/(delta*sqrt(3))
c
c establish a reasonable starting value for the Newton-
c Raphson procedure using the average of the lowest complete
c and the highest partial. Then convert that v50 start to
c the parameters for the f(alpha + beta*X) formulation to
c form alpha0 for the Newton-Raphson.
c
      xmu0=(stress(nonresp,1)+stress(nonresp+1,1))/2
      alpha0=-beta*xmu0
      alphnm1=alpha0
      do 20 k=1,numit
      xnumer=0
      xdenom=0
c
c Compute the update for the Newton Raphson approximation.  It
c involves the computation of probabilities from the logistic
c distribution.  The update will be called xmargin.
c
      do 10 j=1,nsample
      dist=1/(1+exp(-alphnm1-beta*stress(j,1)))
      xnumer=xnumer+stress(j,2)-dist
      xdenom=xdenom+dist*(1-dist)
   10 continue
c
c Because of the instability of the Newton-Raphson in this
c situation, it is possible for the xdenom above to be very
c close to zero.  This will occur when the "alpha n minus 1"
```

```
c value, the current parameter estimate, is very far from
c the actual root.  Then the probabilites will be computed
c for velocity levels out in the tail of the distribution.
c Either dist or 1-dist is likely to produce zeros in that
c instance.  A good starting value is a hedge against this.
c The shape of the function for which the root is desired
c is such that if you are out a little too far, the algorithm
c will diverge, not converge.  This is likely to be first
c manifested in a zero denominator.
c
      if(xdenom.eq.0)then
      v50=-7777
      return
      endif
c
c Update the parameter alpha.  Check to see if it satisfies the
c convergence criterion.  If it does, compute the mean based on
c the two parameters of f(alpha + beta*X)
c
      xmargin=xnumer/xdenom
      alphnm1=alphnm1+xmargin
      xtest=abs(xmargin)
      if(xtest.lt.epsilon)then
      alpha=alphnm1
      v50=-alpha/beta
      return
      endif
   20 continue
c
c If convergence is so slow that it cannot occur in the specified
c number of iterations, assign it a code of -8888. This is unlikely
c to occur, but is possible.
c
v50=-8888
return
end
```

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13. ABSTRACT *(Maximum 200 words)*

A two-phase study was conducted to determine the efficacy of MIL-STD-662E in ballistic protection limit determination for advanced lightweight armor technologies. The first-phase results demonstrated an unacceptable variability in limit estimates across sites. It was concluded that more stringent controls in implementing MIL-STD-662E, particularly as to test velocity selection, would be required to achieve adequate reproducibility. An unusual pattern in the data from the first phase suggested that there was a physical reason beyond operational concerns for the unacceptable variability. In the second phase, this new reason was investigated in an interlaboratory test involving six sites. It was determined that a physical phenomenon, termed shatter gap, was the principal cause of the irreproducible results from the first phase. For this setting, modifications of MIL-STD-662E to support reproducible results were suggested and successfully tested.

14. SUBJECT TERMS

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